

## Relationship of Reduced Lung Function in Male Chronic Heart Failure Patients

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### ABSTRACT

**Background:** Chronic heart failure (CHF) is a major cause of morbidity and mortality throughout the world affecting multiple organ systems of the body including lungs.

**Objective:** To observe and compare FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC% in CHF patients of different functional classification with healthy individual.

**Methods:** This Cross Sectional study was conducted in the Department of Physiology of Bangabandhu Sheikh Mujib Medical University (BSMMU), Shahbag, Dhaka, during 2016. For this, 60 stable male CHF patients were randomly selected, these study subjects based on staging of the disease (Stage C) and New York Heart Association (NYHA) functional classification were further divided into two groups, 30 patients of NYHA Class-I and 30 patients of NYHA class-II. Age, sex and BMI matched, 30 were apparently healthy subjects were taken as control. All the participants were aged 35-65 years. FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC% of all subjects were measured by a portable Digital Spirometer. For statistical analysis, One way ANOVA and Independent sample 't' test was performed by using SPSS for windows version-16 & p ≤ 0.05 was accepted as level of significance.

**Results:** The mean percentage of predicted values of FVC and FEV<sub>1</sub> were significantly lower (except FEV<sub>1</sub>/FVC%) in chronic heart failure patients in comparison to the healthy control. All the study variables were significantly lower in patients of NYHA class-II as compared to patients of NYHA class-I. In addition, 73.33% NYHA class-I patients and 63.33% NYHA class-II patients had restrictive feature.

**Conclusion:** This study concluded that some ventilatory variables decrease in CHF patients and to observe extend of lung damage by comparing NYHA class - I and II patients found mild and severe restriction respectively.

**Key words:** Lung function parameters, Chronic heart failure, NYHA functional classification

### Introduction

Heart failure (HF) is a chronic epidemic global health burden with increasing incidence and prevalence also a major cause of morbidity, mortality and decreased life expectancy.<sup>1</sup> In the USA, in every year 1.5 to 2% of the total population has been diagnosed as incidental cases of heart failure.<sup>2,3</sup> There is no study about exact prevalence of heart failure in Bangladesh but the accountability of South Asian countries prevalence has been estimated 5.2% & Bangladesh include in South

Asian countries.<sup>4</sup> Data shows, male aged 45 to 65 years or more detected as HF and 3 years survival rate about 50% in every year per thousand populations.<sup>5,6</sup> A survey report in Bangladesh found among 17% cardiovascular diseases, 80% death occur due to HF.<sup>7,8</sup>

Heart failure (HF) is a complex clinical syndrome that arises secondary to abnormalities of cardiac structure and/or function (inherited or acquired) that impair the ability of the left ventricle to fill or eject

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blood.<sup>9</sup>Chronic heart failure (CHF) is characterized by the symptoms that appear slowly over a period of times and become worst gradually.<sup>1,2</sup>The diagnosis of CHF was made as per the criteria set by American Heart Association (AHA), 2013: Stable HF symptoms (>3 months), Duration of HF symptoms (>1 year) and Ejection Fraction ( $\geq 35\%$  to  $\leq 50\%$ ) measured by Echocardiogram.<sup>1,10</sup>

Functionally symptoms of patients were graded according to New York Heart Association (NYHA) classification: NYHA class I, without any symptoms attributable to heart disease, NYHA classes II, III and IV, those patients who have mild, moderate and severe symptoms.<sup>11</sup>According to American Heart Association (AHA) staging system, the development of heart failure has been categorized into 4 stages of the disease, Stage A: high risk for developing HF; Stage B: asymptomatic LV dysfunction; Stage C: structural heart disease with past or current symptoms of HF; Stage D: refractory heart failure requiring specialized interventions.<sup>1,9</sup>As per guidelines most common causes of heart failure are coronary artery disease, respiratory diseases, diabetes, hypertension, dyslipidemia, valve disease, atrial fibrillation.<sup>11</sup>

Cardiac and respiratory systems are hemodynamically and mechanically co related due to heart and lungs both reside in a closed thoracic cavity. Several previous investigations found abnormal lung function in CHF related to pulmonary edema, increased bronchial conductance and obstruction.<sup>12-16</sup>Some other studies found that mild to moderate changes in lung function in CHF are mainly restrictive and some extent obstructive changes because of respiratory muscle weakness, pulmonary hypertension, reduction in lung diffusing capacity, changes in lung fluid balance and chronic neurohumoral changes.<sup>10,17,18</sup>

Different studies investigated and found that reduced lung function as measured by FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC% was associated with an increased risk of cardiovascular morbidity and mortality.<sup>19,20,21</sup>Another study observed that FVC is a predictor of respiratory muscle strength.<sup>22</sup>Framingham heart study, suggested that low FVC is the predictor of severity of heart failure.<sup>23</sup>Previous study found that risks for HF had significant association with low value of FEV<sub>1</sub> and FVC among older persons with reduced and

preserved ejection fraction without clinical lung disease.<sup>19</sup>Another researcher found that 20% population have reduced FEV<sub>1</sub> with low grade systemic inflammation that developed atherosclerosis which was responsible for cardiovascular morbidity and mortality independent of age, gender, height and cigarette smoking.<sup>24</sup>

So, this study has been designed to observe FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC% in Bangladeshi male chronic heart failure patients.

## Methods

This cross sectional study was carried out in the Department of Physiology, BSMMU, Dhaka from March 2015 to February 2016. Study protocol was approved by Institutional Review Board (IRB), BSMMU. For this, 90 male subjects were randomly selected, 60 were stable diagnosed aged 35-65 years CHF patients by the cardiologist were selected as study group from the Cardiology department of BSMMU. According to American Heart Association (AHA) guidelines, 2013 based on staging of the disease (Stage C) and New York Heart Association (NYHA) functional classification, study subjects were further divided into two groups, patients of NYHA Class- I and patients of NYHA class -II with 30 patients in each group. Age and BMI matched 30 apparently healthy males were taken as control group for comparison from different area of Dhaka city by personal contact.

All the subjects with history of acute or chronic lung & chest wall diseases e.g. pneumonia, COPD, pneumothorax, malignancy etc, angina, acute myocardial infarction, valvular surgery, alcohol users, smokers and for study group with NYHA class- III and IV patients were excluded from the study.

After selection, objectives and the study procedure were explained in details to the subjects and the accompanying relatives and encouraged for voluntary participation. If they agree to participate at their free will, informed written consent was obtained in a prescribed form. During the study period the patients were treated with standard optimized medications for heart failure and they were clinically stable as determined by clinicians.

A detail personal, medical, family, socioeconomic, occupational, dietary and drug history was taken. After thorough physical examinations all the information were recorded in a preformed standard questionnaire. For the assessment of lung function, all the subjects were measured for FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC% by a PONY FX portable Digital Spirometer. Data were expressed as mean  $\pm$  SE (Standard Error) of percentage of predicted value and also in percentage of frequency. Data analysis was done by One-way ANOVA and Independent sample 't' test by using SPSS for windows version 16. P value  $\leq 0.05$  was accepted as statistical significant.

### Results

General characteristics (Age and BMI) both the control and CHF patients were comparable as their differences were statistically non significant but Pulse rate and Blood pressure were statistically highly significant (Table I).

In this study, the mean percentages of predicted values of FVC and FEV<sub>1</sub> were significantly lower in study group than those of control. Again, the mean percentage of predicted value of FEV<sub>1</sub>/FVC% was significantly higher in study group in comparison to that of control (Table II).

Among the NYHA class-I CHF patients, 73.33% patients had restrictive, 10.00% small airway obstruction and 16.67% patients showed features of mixed type of lung dysfunction. Again, NYHA class-II CHF patients, 63.33% patients had restrictive, 13.33% small airway obstruction and 23.33% patients showed features of mixed type of lung dysfunction (Table III).

Among the NYHA class-I CHF patients, 60% were presented with mild restriction, 23.33% with moderate restriction and 16.66% with moderately severe restriction. Again, NYHA class-II CHF patients, 50% with severe restriction, 20% with very severe restriction, 16.66% with moderately severe restriction and 13.33% with moderate restriction (Table IV).

**Table I:** General characteristics of the subjects in different groups (n=90)

Parameters	Group A (n=30)	Group B <sub>1</sub> (n=30)	Group B <sub>2</sub> (n=30)	P value
Age (years)	50.03 $\pm$ 1.26	51.70 $\pm$ 1.62	50.33 $\pm$ 1.24	0.801 <sup>ns</sup>
BMI (Kg/m <sup>2</sup> )	22.38 $\pm$ 0.26	22.21 $\pm$ 0.25	21.51 $\pm$ 0.25	0.951 <sup>ns</sup>
Pulse rate (beats/min)	76.57 $\pm$ 0.98	91.90 $\pm$ 1.05	95.97 $\pm$ 0.76	0.000 <sup>***</sup>
SBP (mmHg)	121.0 $\pm$ 1.80	128.0 $\pm$ 1.06	129.0 $\pm$ 1.08	0.000 <sup>***</sup>
DBP (mmHg)	75.67 $\pm$ 0.92	81.67 $\pm$ 1.05	83.50 $\pm$ 1.20	0.000 <sup>***</sup>

BMI= Body Mass Index, SBP= Systolic Blood Pressure, DBP= Diastolic Blood Pressure

Data were expressed as mean  $\pm$  SE (Standard Error).

Statistical analysis were done by Independent sample 't' test and One way ANOVA

Group A : Apparently healthy subjects (Control group)

Group B<sub>1</sub>: Diagnosed patients with CHF of NYHA Class- I (Study)

Group B<sub>2</sub>: Diagnosed patients with CHF of NYHA Class -II (Study)

\*\*\* : Significant (p $\leq$ 0.001)

ns: non significant (p $>$ 0.05)

n: number of subjects

**Table II:** Percentages of predicted values of FVC, FEV<sub>1</sub> and FEV<sub>1</sub>/FVC% in different groups (n=90)

Parameters	Group A (n=30)	Group B <sub>1</sub> (n=30)	Group B <sub>2</sub> (n=30)	P value
FVC (L)	97.13 $\pm$ 1.32	73.07 $\pm$ 0.79	54.83 $\pm$ 1.92	0.000 <sup>***</sup>
FEV <sub>1</sub> (L)	93.90 $\pm$ 1.33	75.50 $\pm$ 1.41	49.10 $\pm$ 1.32	0.000 <sup>***</sup>
FEV <sub>1</sub> /FVC%	94.17 $\pm$ 1.71	106.30 $\pm$ 1.65	99.00 $\pm$ 0.73	0.000 <sup>***</sup>

Forced Vital Capacity (FVC), Forced Expiratory Volume in 1<sup>st</sup> second (FEV<sub>1</sub>), Forced Expiratory Ratio (FEV<sub>1</sub>/FVC %)

Data were expressed as mean  $\pm$  SE (Standard Error)

Statistical analysis were done by Independent sample 't' test and One way ANOVA

Group A: Apparently healthy subjects (Control group)

Group B<sub>1</sub>: Diagnosed patients with CHF of NYHA Class- I (Study)

Group B<sub>2</sub>: Diagnosed patients with CHF of NYHA Class -II (Study)

\*\*\* : Significant (p $\leq$ 0.001)

**Table III:** Frequency distribution of pulmonary disorders in CHF patients in different study groups (n=60)

Type of pulmonary disorder	Group B <sub>1</sub>	Group B <sub>2</sub>
Restrictive disorder (RD)	22 (73.33%)	19 (63.33%)
Large airway obstruction (LAO)	0 (0%)	0 (0%)
Small airway obstruction (SAO)	3 (10.00%)	4 (13.33%)
Combination of RD and SAO	5 (16.67%)	7 (23.33%)
<b>Total</b>	<b>30 (100%)</b>	<b>30 (100%)</b>

**Table IV:** Frequency percentage of CHF patients by the type of restrictive disorders in different study groups (n= 60)

Type of restrictive abnormality	Group B <sup>1</sup>	Group B <sup>2</sup>
Mild	18 (60%)	0 (0%)
Moderate	7 (23.33%)	4 (13.33%)
Moderately severe	5 (16.66%)	5 (16.66%)
Severe	0 (0%)	15 (50%)
Very severe	0 (0%)	6 (20%)
	<b>30 (100%)</b>	<b>30 (100%)</b>

Group B<sub>1</sub>: Diagnosed NYHA Class- I CHF patients (Study)

Group B<sub>2</sub>: Diagnosed NYHA Class- II CHF patients (Study)

n: number of subjects

## Discussion

In this study, the value of lung function variables in healthy control group were within normal limit and almost similar to that of different investigators from other countries. In this study, mean percentage of predicted values of FVC and FEV<sub>1</sub> in CHF patients were significantly lower than the control. Evidence from similar findings were also observed by various investigators.<sup>13,14</sup> Some researchers of other countries reported FEV<sub>1</sub>/FVC% was found significantly higher in CHF patients than healthy control.<sup>12</sup> Also, reported by other researcher FEV<sub>1</sub>/FVC% was found lower value and the differences among the different groups were statistically non significant.<sup>17,26</sup>

This result suggests the pattern of pulmonary disorder was found mainly restrictive also obstructive and both restrictive and obstructive of these CHF patients.

Several researchers of different countries have reported, similar types of findings in this group of patients, but the frequency distribution was not similar to the present study.<sup>16</sup> Among the CHF patients of NYHA class-I were presented with predominantly mild restriction also moderate and moderately severe restriction, respectively. Again, CHF patients of NYHA class-II were presented with mainly severe restriction also moderate, moderately severe and very severe restriction, respectively. These findings are similar with those of some other investigators.<sup>14,16</sup>

There are different postulated mechanisms suggested regarding these changes with lung abnormalities to chronic heart failure. Several investigators have suggested that energy deficit is a relevant contributor to the development of cardiac and skeletal myopathy.<sup>13</sup> In heart failure most of functions of muscle bioenergetics are altered such as oxygen availability, substrate oxidation, ATP production by the mitochondria and transfer to contractile apparatus.<sup>13,29</sup>

Also, it has been suggested that CHF is associated with increased venous capacitance with elevated pulmonary capillary pressure which adversely affects FVC and FEV<sub>1</sub>.<sup>27</sup> Researcher proposed that alterations of respiratory mechanics and gas exchange capacity are strictly related in CHF. This lung diffusion abnormality might be related to interstitial edema, alveolar-capillary membrane hydrostatic injury and altered alveolar fluid.<sup>25,28</sup> It has been supported by the evidence of high frequency distribution of restrictive pulmonary disorder due to reduced respiratory muscle perfusion, low cardiac output and respiratory muscle weakness which results in decrement of forcefully ventilatory variables.<sup>17,18</sup>

The physiological mechanism behind this phenomenon is still undefined but all of these above mentioned factors may cause overall lung dysfunction also changes in ventilatory variables in stable chronic heart failure patients of this study.

## Conclusion

From the results of the study, it is concluded that lung functions may be reduced and restrictive disorders are more prevalent in chronic heart failure patients.

**Conflict of interest:** None.

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