

Bariatric Surgical Treatment for Obese Adolescents and Children

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Abstract

Like in adults, the prevalence of childhood and adolescent obesity is increasing globally. The consequences of severe obesity are serious and for that reason the World Health Organization has recognized obesity as a disease. Among the treatment options for obesity comprising dietary restriction, increased physical activities and weight-reduction surgery, only the last one provides a sustained and consistent weight-loss in obese patients. Numerous recent studies have validated this fact in favor of bariatric surgeries. Although in spite of having been associated with considerable significant

complications, these are gaining popularity particularly in morbid obesity. The justification of bariatric surgeries in children and adolescents is still a contentious issue especially in regards to their physiologic growth and development. In many advanced countries, pediatric bariatric surgeries are being done under strict guidelines including India; but in our country, it is still in conceptual stage. Here we present essentially a conceptual framework and clinical guideline for bariatric surgery in adolescents and children in this review.

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Introduction:

Obesity is a serious ailment—chronic, progressive and is often life-threatening. In addition to adults, increasing number of children are also afflicted with the disease. Although prevention is the ultimate goal, a substantial number of children and adolescents will have significant comorbidities related to obesity and will require treatment. At present, surgical treatment options are deemed more effective at achieving sustained weight loss and for amelioration of the comorbidities in comparison to non-surgical weight-loss strategies particularly in severely obese patients. There is mounting body of evidence that supports the use of modern surgical weight loss procedures for carefully selected, extremely obese adolescents.^{1,2} However, one needs to remember that choosing a weight-loss surgical strategy for children and adolescents should be patient-specific based on their age, severity of comorbidities and body mass index (BMI). It should also be borne in mind that the nutritional consequences of the most common bariatric surgical

procedures are well defined and must be effectively managed with vitamin and mineral supplementation. Among adolescents, however, it is not fully known whether the outcome will be the same as in adults or whether there will be unacceptably high degree of recidivism and long-term nutritional sequelae related to poor compliance or reduced micronutrients absorption. Nonetheless, as severely obese patients are refractory to even the most intensive conventional non-surgical approaches to weight-loss, bariatric surgery is becoming a popular means to treat such morbid adolescents. On the basis of the available evidence, this review proffers a conceptual framework and clinical guidelines for bariatric surgery in adolescent and children.

Common-sense approaches to the treatment of obesity were aimed at limiting food intake, increasing physical activity and modification of behavior. These measures could work for the slightly overweight to minimally obese individuals who are motivated and disciplined to undergo significant life-style change. For severe obese patients, diet and behavior modification and exercise have limited success and a high recidivism rate.³ Surgical procedures for the treatment of obesity have been developed during the later decades of twentieth century. Initial bariatric procedures, such as the jejuno-ileal bypass, were designed with limited understanding to the underlying physiologic mechanisms controlling hunger, satiety, caloric absorption, and the bystander effects on the liver and the body as a whole.⁴ However,

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recent weight-loss surgery has become more refined with combinations of intestinal bypass to interfere with caloric absorption and a variety of restrictive procedures and devices to impede food ingestion. Scientists have discovered that an increasing complex array of signals control energy homeostasis but much research work remains to be done to unravel the riddle of obesity.

Definition:

Obesity is defined as a long-term positive imbalance between energy intake and expenditure, with increased adipose tissue lipid storage and number of fat cells.⁵ Children with BMI at greater than 85th percentile (>85P) are considered *overweight* and those with greater than 95th percentile (>95P) are considered *obese*.⁶ Those with BMI greater than 99th percentile (>99P) are considered *severely obese*.

Incidence:

Between 1980 and 2010, the incidence of obesity in children has increased to about three-fold in the United States.⁶ In children aged 2 to 5 years, the incidence increased from 5% to 10.4% whereas in children aged 6 to 11 years, it increased from 6.5% to 19.6%, and in adolescents aged 12 to 19 years from 5% to 18%.^{6,7} A study in 2007 showed that 32% of children and adolescents (2-19 years old) were overweight (>85P), 17% were obese (>95P) and 4% were severely obese (>99P).⁸

An ICDDR.B survey in 2013 conducted on children between five and 18 years and their mothers showed 14% children were overweight and 4% were obese. The study also found that the prevalence of obesity and overweight has increased in children and adolescents from 8.1% to 12.9 in 2013 for boys and from 8.4% to 13.4% in girls.⁹

Another study in 2014 observed that among school aged (6 to 15 years) children in Bangladesh, 3.5% were obese and 9.5% were overweight.¹⁰

Risk factors for obesity in children:

The risk of obesity accumulates with age. Recent insights into the fetal, neonatal and developmental origins of obesity have implications for clinical evaluation of the adolescent candidate for bariatric surgery.¹¹ Epidemiologic studies have established significant link between birth weight and later body mass index in childhood and adulthood.¹² Lower birth weight increases the risk for obesity and insulin resistance.¹³

Childhood obesity risks are higher in infants of diabetic mothers (with high birth weight) and in infants with early rapid weight gain. Absence or short-duration of breast-

feeding confers enhanced risk of obesity in adolescence. Maternal pre-pregnancy overweight and maternal smokings in pregnancy also increase the likelihood of childhood obesity. Obesity among the parents and siblings is an additional risk factor. The odds ratio for persistence of childhood obesity into adulthood is about 3 if one or 10 if both parents are obese.¹⁴

Comorbidities:

Potential health consequences of pediatric obesity include insulin resistance (metabolic syndrome) and type-2 diabetes; hypertension, dyslipidemia, hypercholesterolemia, hypercoagulability and endothelial dysfunction; fatty liver disease, gall-stones and nonalcoholic steatohepatitis; sleep apnea, exercise intolerance and asthma; pseudotumor cerebri and polycystic ovary syndrome etc.

Though type-2 diabetes is typically a disease of middle-aged obese persons, a significant number (nearly half) of new patients with type-2 diabetes are children.¹⁵ The course and complications of type-2 diabetes in children are similar to that seen in adults. Gastric bypass surgery in adolescent has been shown to resolve type-2 diabetes following significant weight loss.¹⁶ It is now widely agreed that established type-2 diabetes is a strong indication for bariatric surgery in adolescents.¹⁷

Pediatric obesity is likely to persist and approximately 70% to 80% children may become obese adults (juvenile-onset obesity). This cohort of obese patients are particularly vulnerable to develop cardiovascular complications.^{8,18} At present, cardiovascular risk factors are less strong indications for bariatric intervention in adolescents.

Non-alcoholic steatohepatitis (NASH) is a serious consequence of childhood obesity and is regarded as a cause of chronic liver disease in children. Approximately 9% of obese children and adolescents have NASH compared with 1% of the lean population. It may lead to hepatic failure and liver transplantation.¹⁹ Currently, weight loss is the only treatment for severe steatosis; NASH is, therefore, considered a strong indication for bariatric surgery.¹⁷

Sleep apnea, exercise intolerance and asthma are common consequences of pediatric obesity. Obstructive sleep apnea can significantly impair learning abilities with a negative effect on school and work performance. Obstructive sleep apnea improves or resolves completely in adolescent undergoing bariatric surgery.²⁰

The most prevalent and debilitating consequences of adolescent obesity are psychosocial and are as

damaging as the medical morbidities. Emotional comorbidities include low self-esteem, negative body image, sadness & depression and high-risk behaviors. Social comorbidities include isolation, stigmatization, discrimination and negative stereotyping. These often result in poor school performance and are associated with lower levels of socioeconomic attainment. A recent study has demonstrated improvement in depressive symptoms and quality of life over the first postoperative year following bariatric surgery in adolescents.²¹

Treatment for childhood obesity:

There are anecdotal opinions that children do not require treatment for obesity because they will grow out of it. However, various data and numerous literatures unequivocally refute such assumption; rather they assert the notion that adult obesity usually begins in childhood and is correlated with the duration and degree of childhood obesity.²² Therefore, treatment protocols for obesity should be 'need' related not 'age' related; sick children receive treatment when they have a problem, regardless of their age.²²

Weight management program:

Weight reduction for obesity includes three essential core elements: *nutrition education, physical activity, and behavioral modification*. The program should involve the following personnel in different subspecialties: pediatric endocrinologist, pediatric bariatric surgeon, dietitian, physical trainer, psychiatrist and pediatric nurse.

Surgical procedures for weight loss:

Bariatric procedures are not unique for children. The procedures used in adults are being adopted in adolescents and children. The consideration that should be emphasized for children and adolescents is that unlike adults they have not completed the growth and maturation. In this regard, few questions needed to be resolved- whether children and adolescents require alternate selection criteria or different postoperative management strategies than adults and whether the long-term nutritional and metabolic outcomes of adolescents will be better or worse than similarly obese adolescents who had undergone bariatric surgery in youth.

The commonly practiced procedures in this cohort are **laparoscopic adjustable gastric band (LAGB), sleeve gastrectomy (SG) and Roux-en-Y gastric bypass (RYGB)**.

History of Pediatric Bariatric Surgery: The earliest report of pediatric bariatric surgery was Randolph's experience with jejunio-ileal bypass.³⁸ Though there was dramatic weight loss with improvements in quality of

life (QOL), the metabolic complications encountered in this procedure were unacceptable. Greenstein used gastric band as restrictive measure and reported favorable experience with low mortality risk.²⁵ A review of the published series included more than 200 adolescents and showed significant excess weight loss during 6-month to 7-year follow-up.¹⁷ In recent time, bariatric procedures among adolescents are beginning to be studied in a prospective and controlled fashion. One such randomized controlled trial (RCT) among 50 adolescents compared gastric banding (AGB) with life-style intervention and showed a weight loss of 34.6 kg (BMI reduction of 28%) in AGB group compared with a 3-kg loss (BMI reduction of 3%) in the life-style group.²⁶ However, the high rate of surgical complications that required revision surgery was of considerable concern. A meta-analysis of 8 AGB studies with data on 352 patients (mean BMI 45.8 kg/m²) demonstrated sustained weight loss and complications similar to adult AGB patients.²⁷ The systematic review and meta-analysis on 6 RYGB studies including 131 adolescents with mean BMI of 51.8 kg/m² reported a significant and sustained decrease in BMI after RYGB procedure.²⁷ Serious complications such as anastomotic leak, sepsis, bleeding and thromboembolic events are rarely reported. Another study with 61 adolescents (mean pre-operative BMI of 60.2 kg/m²) who underwent RYGB had a weight loss of 37.4% one year postoperatively with significant improvements of comorbidities.²⁸

The Best Bariatric Surgical Procedure: In children and adolescents, an ideal bariatric procedure is one that would result in durable and substantial weight loss, with minimal risk from short-term procedural complications and long term malnutrition, growth limitation, liver problems or mechanical complications. Significant disagreement exists regarding the best bariatric surgical procedure in children and adolescents. Each of the procedures has advantages achieving significant weight loss with reversal of comorbidities, and disadvantages with unique profile of potential complications and nutritional concerns. Definitive comparative studies often yield equivocal results.²⁹ More RCTs and meta-analyses will be required to single out the most optimum procedure. Table-1 depicts a comparison between three common procedures.

Certain issues and factors are crucial in the process of choosing and selecting a bariatric procedure for children and adolescents. Current clinical guidelines for pediatric bariatric procedures are primarily based on the critical analyses of various procedures for both adults and adolescents.³⁰⁻³³ In order to frame standardized

treatment protocol, a brief discussion of the existing bariatric procedures in use in children is presented.

LAGB is an effective procedure for 60% to 80% of patients. It is least invasive and most common procedure in Europe and Australia. It is currently being used within

the context of an investigational device exemption from the US Food and Drug Administration (FDA) in adolescents younger than 18 years at several US centers. It is effective for patients with a BMI of 50 or less. Excess weight loss is about 50% over a 2- to 3-year period.³⁴⁻³⁵

Table-I

*Comparison of bariatric surgical procedures.*³²⁻³³

Type of Operation	RYGB	SG	LAGB
Anatomy	Small 20 - 30 ml gastric pouch Pouch connected to the small intestine with an outlet (1- 2 cm) Gastrointestinal bypass ranging from 100-250 cm and excluding food from digestive juices.	Long narrow gastric sleeve (100 ml) along the lesser curvature No intestinal bypass Majority stomach (fundus and greater curvature) removed from 6 cm from pylorus to the angle of His	An adjustable silicone band is placed around the top part of the stomach creating a small 30-60 ml pouch A port for adjustment of the band is placed on the anterior abdominal wall
Mechanism	Food volume is restricted Mild malabsorption Negative feedback in the form of dumping syndrome when sugar or fats are consumed Faster release of GLP-1 and PYY increases early satiety	Food volume is restricted No malabsorption No dumping Good physiologic sense of fullness from restriction in reduced stomach Increased GLP-1 and PYY Decreased ghrelin levels curb appetite	Food volume is restricted Adjustable tightness of band delay pouch emptying and prolong sense of fullness
Weight loss	Excess weight loss 65%–70% Lost within 12-18 months Initially greater weight loss, which levels off	Excess weight loss 33%--83% Lost within 12-24 months Initially greater weight loss, which levels off	Excess weight loss about 50% Lost over 36 months Weight loss gradual over first year but similar to other procedures by 2-3 years
Long-term Dietary Modification	3 small high-protein meals per day Must avoid sugar & fats to prevent dumping syndrome Vitamin /protein deficiency preventable with supplements.	No dumping, no diarrhea Weight regain may be more likely than in other procedures if dietary modifications not adopted for life	Certain dense foods can get stuck if not chewed well (causing pain and vomiting) No liquids with meals
Nutritional supplements	Multivitamin Vitamin B ₁₂ Calcium Iron	Multivitamin Calcium	Multivitamin Calcium
Potential Problems	Dumping syndrome Stricture Ulcers Bowel obstruction Anemia Vitamin/mineral deficiencies Anastomotic leak Weight regain Technical challenging	Nausea & vomiting Heartburn Inadequate weight loss Weight regain Staple line leak Additional procedure may be needed to obtain adequate weight loss Technically easy	Slow weight loss Slippage Erosion Infection Port problems/ device malfunction Additional procedure may be needed for adequate weight loss Technically easy
Hospital Stay	2-3 days	1-2 days	Overnight (<1 day)
Time out of school	2-3 weeks	1-2 weeks	1 week
Operating time	2 hours	1.5 hour	1 hour
Insurance coverage	Most payers will cover the RYGB even in adolescents	Third-party payers have been reluctant to cover the SG, especially in children	The AGB is not FDA approved for use in patients aged 18 years or younger.

LAGB has a short learning curve. The complication rate is 6% to 15% and most are related to mechanical issues, such as the band's position, pouch enlargement, or port and catheter problems.

In comparison, the RYGB provides significant and rapid weight loss (65% to 70%) in most adolescent patients. However, it carries a slightly higher mortality rate.³⁶⁻³⁷ The excellent weight loss needs to be balanced against the serious short- and long-term surgical complications including dumping syndrome, marginal ulcers, internal hernias, micronutrients and vitamin deficiencies. It is the most common bariatric procedure performed in the USA.

The SG is a technically simple procedure and is the most acceptable first-line option in adult obese patients. Excess weight loss ranging from 33% to 83% has been reported.³⁸ In this procedure, complications are minimal and manageable. American Society for Metabolic and Bariatric Surgery (ASMBS) has accepted the SG as an approved bariatric surgical procedure for adults.³⁹ The importance of SG in adolescents is its successful application as a salvage operation for obese children who do not respond sufficiently to or have mechanical complications with LAGB. In addition, short-term data of SG as an initial procedure in children and adolescents are quite encouraging.⁴⁰ Laparoscopic SG does involve much less anatomic and physiologic derangement than that of RYGB and is an attractive alternative in the adolescents. SG decreases ghrelin level which should be a concern as it may adversely affect growth and development of the adolescent patients.

New devices and new procedures:

Several new devices and one new procedure are currently under development. Presently, the AGB is the only weight loss device in use. Devices may be an ideal alternative to weight-loss surgical procedure because they are minimally invasive and may have lower morbidity. Also, the devices are adjustable, reversible and removable. *Intra-gastric balloon* and *Endosleeve* are regarded as temporary device while *Vagal-blocking device* and *gastric stimulator* are considered as semipermanent device

Intra-gastric Balloon: This is a temporary intraluminal device as it is removed or changed on a regular basis. It would be attractive in children because it could help children attain a healthy body fat proportion when its use might be discontinued.⁴¹ The balloon is designed to be inflated in the stomach and causes a continual

sensation of gastric fullness and satiety. The device is adjustable that will allow the balloon size to be titrated to the size of the patient and to the desired effect.

Endosleeve: It consists of a tube made of impermeable material placed along the lumen of duodenum that extend distally for about 60 cm thus creating a mechanical bypass for ingested food resulting in impediment with absorption.⁴² It also prematurely initiates satiety-inducing neuro-hormonal feed-back loop when PYY and GLP-1 are released from the distal ileum causing early satiety. It may be used for 6 months; after which it is removed and replaced endoscopically.

Vagal-Blocking Device: It is known that vagal afferents to the central nervous system (CNS) can control appetite. Blocking the afferent pathways by electronic device and controlling the frequency, amplitude and wave form can result in optimum effects in satiety and consequent weight loss.⁴³

Gastric Stimulator: Gastric stimulator induces weight loss by interfering with ghrelin production or by simulating the sensation of a full stomach via vagal afferents.⁴⁴

Gastric Plication: It is a new bariatric surgical procedure and involves plicating the greater curve, fundus and body of the stomach to create a tube along the lesser curvature.⁴⁵ It mimics the SG without excision of the stomach.

Suggested protocol for management of obesity in adolescents and children:

As non-operative weight loss treatment did not have desired success particularly in patients with severe obesity, it became apparent that better and more effective mode of treatment must be developed. However, many physicians caring morbidly obese children and adolescents, though frustrated with the ineffectiveness of current weight loss program, are reluctant to condone surgical interventions. This is because evidence regarding the outcome of bariatric procedures in adolescents and children is just beginning to be published and lack clear-cut consensus. However, the pediatric committee for the ASMBS published the guidelines⁴⁴ that recommended criteria for patient selection (aged 13 to 19 years) for bariatric surgery:

1. BMI greater than or equal to 40 kg/m² with or without comorbidities namely, hypertension, insulin

- resistance, glucose intolerance, dyslipidemia and mild sleep apnea (apnea-hypopnea index or AHI>5).
2. BMI greater than or equal to 35 kg/m² with major comorbidities namely, type-2 diabetes, moderate to severe sleep apnea (AHI>15), pseudotumor cerebri, severe nonalcoholic steatohepatitis (NASH).
 3. Must have failed 6 months intensive and professionally monitored non-operative weight loss program.
 4. Must have psychological maturity to understand implications of surgery and be competent to assent to the procedure and be compliant with postoperative therapy with a stable family environment having full support of all family members.
 5. Patients with syndromic obesity, mental retardation and psychological disorder must be evaluated on individual merit.

The protocol also recommended to follow common-sense practical and step-wise intensification in the management option. The bariatric procedures should be done in regionalized specialized centers able to provide comprehensive preoperative and postoperative investigations with multidisciplinary team capable of providing long-term follow-up including the unique behavioral challenges of children and adolescents.

Suggested surgical procedure for adolescents:

Table-II outlines the recommended procedure(s) based on BMI and age of the patients.³²⁻³³

Table-II

*Surgical approach in obese adolescents.*³²⁻³³

Group	Age (years)	BMI (kg/m ²)	Procedure(s)
I	13-19	Less than or equal to 50	LAGB or SG
II	13-15	Greater than 50	SG
III	16-19	Greater than 50	SG or RYGB

Patients aged 13 to 19 years with BMI less than or equal to 50 kg/m² are considered as group-I. LAGB or SG can be a good first choice for this group of patients because they have less obesity-related comorbidities. They respond well to the gradual increasing pattern of weight loss that occurs in LAGB.

Group-II comprises patients aged 13 to 15 years with BMI greater than 50 kg/m². This cohort of patients encounters problems with LAGB. They lose similar weight as their lower-BMI counterparts but the large amount of excess weight that remains and the slower rate of weight loss leads to frustration and unmet expectations. Further tightening of the gastric band to help accelerate weight loss often results in complications. A more aggressive procedure is therefore recommended, such as the SG, which would provide additional appetite suppression without the risk of micronutrient deficiencies along with an earlier and faster weight loss response.

Patients aged 16 to 19 years with BMI greater than 50 kg/m² are included in group-III. LAGB has limited effectiveness in this group for the same reason. It is likely that SG or RYGB would provide an earlier and faster weight loss. RYGB is technically more challenging compared to SG with a shallow learning curve. Also, the lower risk of micronutrient deficiencies associated with SG makes it an attractive option for this group. A failed SG is probably best salvaged by an RYGB.

Suggested surgical procedure for children:

In order to recommend a bariatric surgical procedure for obese children younger than 13 years, one must appreciate various age-specific physiologic and psychological issues. For instance, children younger than 13 years old have not completed their linear growth. Their BMI can improve when weight gain is arrested while the linear growth remains. Though it is not clear whether this process reduces the body fat mass and resolves obesity related comorbidities. The behavior of children under 13 years old is more malleable and shows better compliance. The non-surgical weight management program must be rigorously carried out under direct supervision of a professional multidisciplinary team. There are only few examples of bariatric procedures performed in obese children younger than 13 years old. At present, it is apparent that younger adolescents are more accepting the LAGB procedure. It has an excellent safety profile with reversibility. Its adjustability allows controlled caloric ingestion and maintains nutrition without malabsorption. Control of food ingestion in this group may allow them to undergo the longitudinal growth that leads to a normalization of their BMI without malnutrition. The adjustable gastric band can then be

emptied, and if weight remains within an acceptable range, it could be easily removed.

Bangladesh perspective:

The rate of obesity in urban children and adolescents is increasing in Bangladesh and other developing countries though not as alarmingly as in developed world. In Bangladesh, the number of obese and overweight children has doubled from 1980 to 2013 while it has tripled in the USA during the same span of time. Nevertheless, the consequences and magnitude of the problem must not be underestimated because obesity, declared by World Health Organisation (WHO) as a disease, may be a cause of manifold comorbidities as already described. The treatment of obesity is likely to be expensive and we must focus on its prevention. Exclusive breast feeding (EBF) from birth to 6 months of age is an important way to help prevent infants from becoming overweight and obese. Strict antenatal check-up of pregnant mothers and prompt and effective treatment of gestational diabetes may also help prevent obesity in the newborn. Besides, healthy complimentary foods and a well-planned family diet play a paramount role in preventing obesity. Avoidance of sedentary lifestyle, eating junk and fast-food and engagement in increased physical activities are also pivotal for prevention of obesity. Much concerted efforts are required to put a rein on the alarming rise of obesity. The World Health Assembly in 2014 adopted the “Global Action Plan”; we must also develop a political commitment to advance the implementation of the WHO Global Strategy.

The pediatric bariatric surgery is still at the very early stage even in the developed world. In Bangladesh, like other developing countries, bariatric surgery in children and adolescents will require more time and further evaluation to set on. We need to establish a dedicated centre for weight-loss surgery –initially for adults and then it may be scalable to the needs of the children and adolescents.

Conclusion:

Bariatric surgical decision for pediatric obesity is never easy. One must not lose sight of the fact that treatment paradigm should be developmentally appropriate, and perhaps step-wise, beginning with conservative, safer and potentially reversible options. The risk-benefit ratio of weight loss surgery must be meticulously assessed

before assigning one procedure to an obese patient so that benefit outweighs the risks. The development of stratified protocols of increasing intensity should be individualized for each patient based on their disease severity and risk factors. The goal is not only to achieve dramatic and sustained weight loss and to resolve the associated comorbidities but also to use a bariatric procedure which is most effective with negligible and minimum long-term outcome considering the growth and maturation potential of the children and adolescents. Success of pediatric bariatric surgery lies in the event of normal physiologic progression of a child through adolescence and adulthood that can only be ensured by selecting a bariatric procedure grounded upon sound physiological concept. Absence of restrictive food ingestion and malabsorption, and allowing normal life and growth without the necessity of life-long medications, nutritional support and surveillance are essential elements of an ideal bariatric procedure for obese children and adolescents.

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