

## 10-M MAPPING AND ANALYSIS OF MAJOR CROP PLANTING PATTERNS IN HENAN PROVINCE IN CHINA

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### Abstract

To improve crop mapping in complex agricultural regions for food security, this study develops a seasonal monitoring framework, treating the growing seasons as independent classification tasks based on specific temporal windows, in Henan Province, China, using 2023 Sentinel imagery. It employs separate feature sets for summer-harvested (winter wheat) and autumn-harvested crops (maize, rice, peanut, soybean), using an XGBoost algorithm for classification and a SHAP model to overcome the black-box nature of the algorithm and identify key phenological markers. The framework demonstrated high effectiveness, achieving cross-validation consistency rates of 85.18% for winter wheat and 90.08% for maize. The resulting maps show that a winter wheat-summer maize rotation is the predominant cropping pattern in the province. The study also found that driving factors for classification differ by season: winter wheat identification depends on unique phenological signals (e.g., March NIR), whereas autumn crops are distinguished by a dual mechanism of macro-geographical latitude and specific spectral indices. This research confirms the strategy's robustness for fine-scale mapping.

### Introduction

Ensuring national food security is a fundamental pillar of socioeconomic stability and sustainable global development (Yang *et al.* 2020). The timely and precise mapping of crop spatial distribution and planting patterns in major grain-producing regions is essential for optimizing agricultural resource allocation and accurately assessing grain production capacity (Waha *et al.* 2020, Hu *et al.* 2025). With its advantages of broad coverage, short revisit cycles, and cost-effectiveness, remote sensing has emerged as a critical technology for rapidly acquiring large-scale information on agricultural landscapes. Significant progress has been made in using time-series satellite imagery to map the distribution of individual crops such as rice (Zhang *et al.* 2017), maize (Qiu *et al.* 2018, Jin *et al.* 2019), wheat, soybean, and rapeseed. Some studies have extended this to mapping multiple crop types (Griffiths *et al.* 2019, You *et al.* 2021).

However, research focusing on the remote sensing of entire planting patterns in regions with complex agricultural systems remains relatively scarce (Blickensdörfer *et al.* 2022, Qiu *et al.* 2022). In areas characterized by high crop diversity and intricate cropping systems, accurately distinguishing between various crop types and reconstructing their annual rotation cycles from satellite imagery presents a persistent challenge in the field of agricultural remote sensing (Wu *et al.* 2023). Previous studies attempting to map these complex systems often fall short due to the high spectral similarity among concurrent autumn crops and an insufficient temporal resolution to capture tightly linked rotation sequences (Cai *et al.* 2018, Blickensdörfer *et al.* 2022, Wu *et al.* 2023, Qi *et al.* 2024). Henan Province, as one of China's core grain production areas, plays a vital

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role in Chinese food security (Wang *et al.* 2024a). Its dominant winter wheat-summer maize rotation is a representative planting pattern with tightly linked growing seasons (Yang *et al.* 2023).

This spatiotemporal heterogeneity is further exacerbated by a north-south thermal gradient that causes phenological shifts. For instance, winter wheat in southern Henan typically matures earlier than in northern regions, posing a challenge for classification models that rely on spectral information (Griffiths *et al.* 2019, Diao 2020). Previous studies in Henan have often focused on a single season, neglecting the complete summer-autumn cropping sequence and the distinct drivers of autumn crops (Tian *et al.* 2023).

To address these challenges, this study develops an interpretable seasonal monitoring framework. By integrating multi-source data, we constructed season-specific feature sets to capture critical phenological information. To ensure robustness, feature selection was performed (Burchard-Levine *et al.* 2021, Tufail *et al.* 2025), and the XGBoost (Chen *et al.* 2016) algorithm was utilized. The SHAP method was subsequently applied to interpret the classification results, moving beyond 'black-box' predictions to provide actionable insights into crop-specific spectral and phenological behaviors (Antwarg *et al.* 2021). The primary objectives are: 1) to produce high-precision (10 m) maps of crops and planting patterns in Henan for 2023 and 2) to quantitatively decode the underlying phenological and geographical drivers using SHAP values.

## Materials and Methods

The study was conducted in Henan Province, a major grain-producing region in China (31°23'–36°22'N, 110°21'–116°39'E; Fig. 1). The province experiences a distinct transitional climate, ranging from a warm temperate monsoon climate in the north to a humid subtropical climate in the south (Wang *et al.* 2024b). The agricultural landscape is characterized by a complex winter wheat-summer maize double-cropping system. In 2021, winter wheat covered 5.691 million hectares (Mha), followed by major autumn crops including maize (3.867 Mha), peanut (1.318 Mha), soybean (0.364 Mha), and rice (0.602 Mha). This combination of crop diversity and spatiotemporal heterogeneity, driven by a north-south thermal gradient, provides a representative landscape to validate the proposed seasonal monitoring framework.

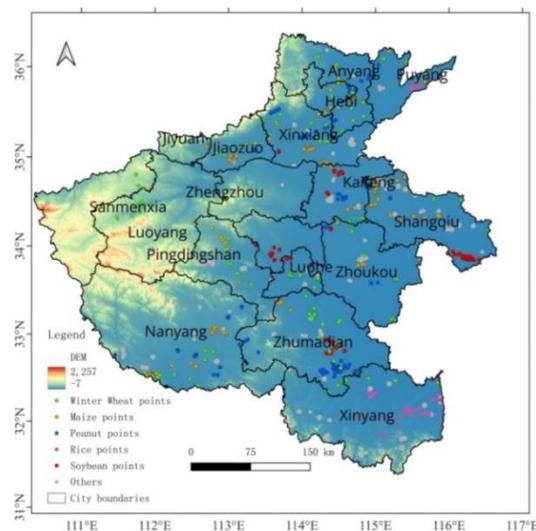


Fig. 1. Location and sample point distribution of the study area in Henan Province.

This research used a multi-source dataset for the 2023 growing seasons. Time-series Sentinel-2 L2A imagery (10 m) was processed on the GEE platform, involving cloud-masking (>50% probability) and the calculation of monthly maximum NDVI and LSWI composites to capture phenology. MODIS NDVI products, resampled to 10 m spatial resolution using bilinear interpolation to match the Sentinel-2 scale, were used for gap-filling, and Sentinel-1 SAR data (10 m, VH polarization) from July-August was included to aid rice identification by mitigating spectral confusion. Auxiliary data included a 10 m Copernicus DEM to derive slope, the 2023 GLC\_FCS10 land cover product to provide spatial context, and pixel-level geographic coordinates to model spatial heterogeneity. Ground truth data were generated by creating sample points within manually digitized polygons based on 2023 sub-meter Jilin-1 base maps, Esri World Imagery, Google Earth, and Sentinel-2 multispectral imagery for each class (Table 1). For independent validation, we extracted high-confidence 'pure pixel' samples (centre pixel and 7x7 neighborhood) from third-party winter wheat and maize datasets.

**Table 1. Summary of ground truth sample and validation points.**

| Data Category   | Class                                  | No. of polygons | No. of points |
|-----------------|--|-----------------|---------------|
| Sample points   | Winter wheat                           | 125             | 12500         |
|                 | Maize                                  | 143             | 14300         |
|                 | Rice                                   | 80              | 8000          |
|                 | Peanut                                 | 155             | 15500         |
|                 | Soybean                                | 95              | 9500          |
| Validation data | Winter wheat (Dong <i>et al.</i> 2020) | -               | 31103         |
|                 | Maize (Peng <i>et al.</i> 2023)        | -               | 14894         |

Our methodological framework employed a seasonal monitoring approach to accurately map the complex cropping systems. We developed separate feature sets tailored to the specific growing seasons: a 34-feature set for the summer season (dominated by winter wheat) and a 61-feature set for the autumn season (maize, rice, peanut, soybean), as detailed in Table 2. This feature design was based on the distinct phenological profiles of the crops; for example, winter wheat's unique spring green-up period allows clear separation from summer-sown autumn crops (Fig. 2).

**Table 2. Summary of feature sets used for seasonal crop classification.**

| Feature category | Summer-harvested model   | Autumn-harvested model  |
|------------------|--|---|
| Spectral         | Sentinel-2 bands (Mar, Apr: B2, B3, B4, B5, B6, B7, B8, B8A, B11, B12) | Sentinel-2 bands (Jul, Aug, Sep, Oct: B2, B3, B4, B5, B6, B7, B8, B8A, B11, B12)<br>Sentinel-1 bands (Jul, Aug: VV, VH) |
| Phenological     | Time-series NDVI (Sep 2022 – Jun 2023)                                 | Time-series NDVI (May – Nov 2023)<br>Time-series LSWI (Jun – Oct 2023)  |
| Geographical     | DEM, slope, aspect, land cover   | DEM, slope, aspect, land cover, longitude, latitude   |
| Total features   | 34   | 61  |

Crop classification was performed using the XGBoost algorithm (implemented via the Python `xgboost` library v2.1.4). The summer task was simplified to a binary classification (wheat vs non-wheat) due to winter wheat's dominance (66.61% of summer grain area). Optimal hyperparameters were determined via grid search (`max_depth` = 10, `learning_rate` = 0.1, `subsample` = 0.8, `colsample_bytree` = 0.8). We employed a two-step SHAP process to balance model efficiency and interpretability. In the first step, SHAP attribution was utilized as a feature pruning mechanism; we evaluated the global importance of all initial variables and dropped low-impact features to reduce model complexity and mitigate potential overfitting. In the second step, SHAP was applied to the refined model to interpret the final results by quantifying each feature's contribution. Finally, annual planting patterns were mapped by fusing the seasonal results. A 3-pixel morphological dilation was applied to the winter wheat parcels to create a fault-tolerant mask. This 3-pixel window was chosen to account for edge effects in small parcels and compensate for GPS inaccuracies. The mask was then overlaid with the autumn crop map to identify rotation sequences like "Winter Wheat-Summer Maize".

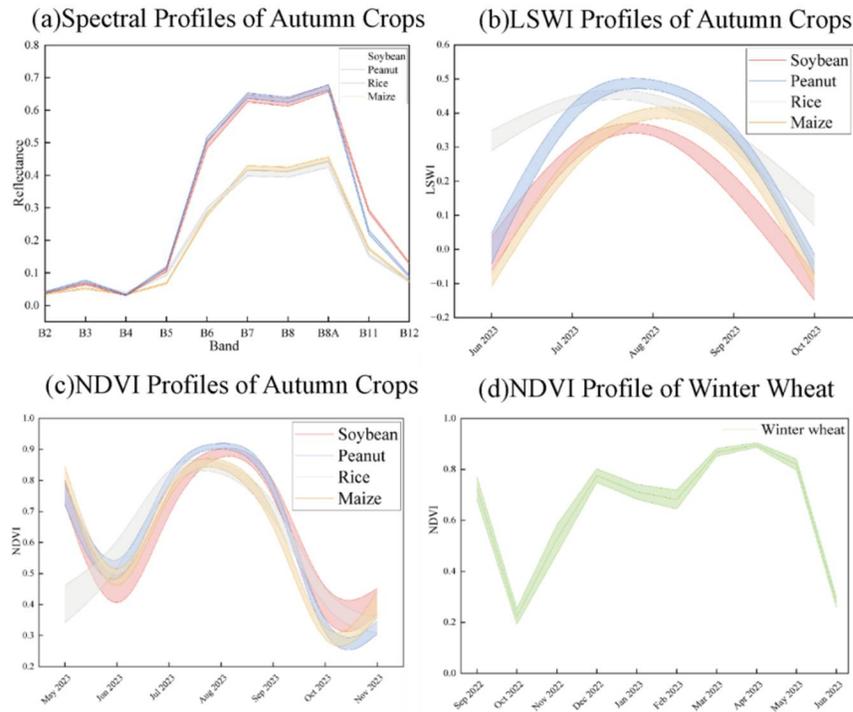


Fig. 2. Remote sensing characteristic curves of major crops in Henan Province. (a) Spectral reflectance of autumn crops in August from Sentinel-2; (b) Time-series LSWI profiles of autumn crops; (c) Time-series NDVI profiles of autumn crops; (d) Time-series NDVI profile of winter wheat.

## Results and Discussion

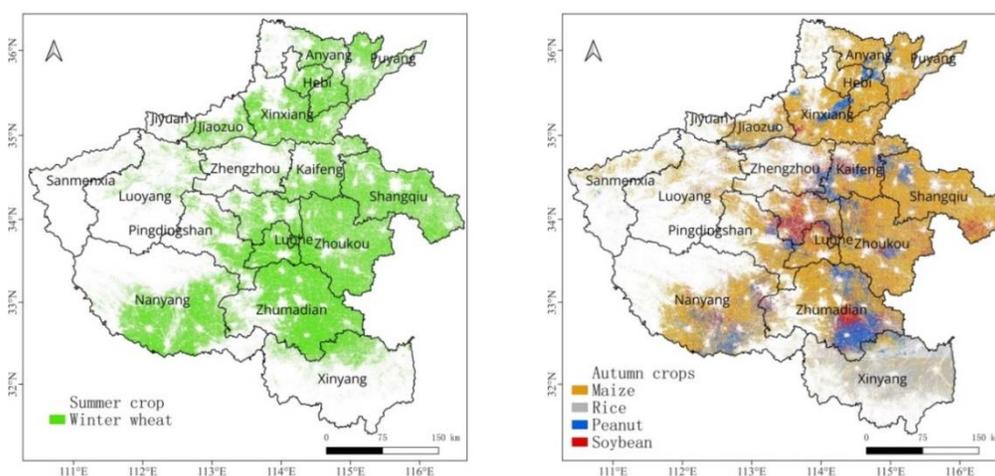
The seasonal monitoring framework successfully extracted the spatial distributions of summer and autumn crops, producing high-precision maps of crop types (Fig. 3) and their annual rotation patterns (Fig. 4). The mapping results reveal that a winter wheat-summer maize rotation is

the most predominant planting pattern in Henan, covering an estimated 3.91 million hectares. Other significant rotations include winter wheat-peanut (0.83 Mha), winter wheat-soybean (0.49 Mha), and winter wheat-rice (0.12 Mha).

The accuracy of these maps was confirmed through a multi-level validation process. A cross-validation with independent, third-party datasets showed high reliability, with a mapping consistency of 85.18% for winter wheat and 90.08% for maize (Fig. 3). A macro-scale comparison with official statistics further validated these findings, showing good agreement for most major crops, such as a relative difference of only -3.02% for winter wheat (Fig. 4). However, this comparison also highlighted a significant overestimation of the soybean area (102.75%). This discrepancy is likely due to spectral confusion with other cash crops (e.g., sunflower and cotton), spectrally similar weeds, and other late-summer crops like mung beans. Furthermore, soybeans in Henan are frequently cultivated in small, highly fragmented plots, which exacerbates the mixed-pixel effect and inevitably leads to over-classification. Conversely, the discrepancies observed for maize (+14.49%) and peanut (-27.43%) highlight a common divergence between remote sensing and official agricultural surveys. Official statistics may under-report complex intercropped areas by categorizing the land under a single primary crop, whereas our pixel-based remote sensing approach captures the actual green cover of these respective crops. Therefore, independent cross-validation with third-party datasets provides a more conservative yet realistic estimate of model performance (Thenkabail and Anece 2019, Maleki *et al.* 2024, Xiao *et al.* 2025).

**Table 3. Cross-validation results with third-party products.**

| Crop type    | Third-Party product                                  | Total validation points | Consistent points | Consistency rate |
|--------------|--|-------------------------|-------------------|------------------|
| Maize        | CCD-Maize (Peng <i>et al.</i> 2023)                  | 14894                   | 13417             | 90.08%           |
| Winter Wheat | China Winter Wheat Dataset (Dong <i>et al.</i> 2020) | 31103                   | 26493             | 85.18%           |



**Fig. 3. Remote sensing mapping results of multiple crop types in Henan Province. (a) Summer crop: winter wheat; (b) Autumn crops: maize, rice, peanut, soybean.**

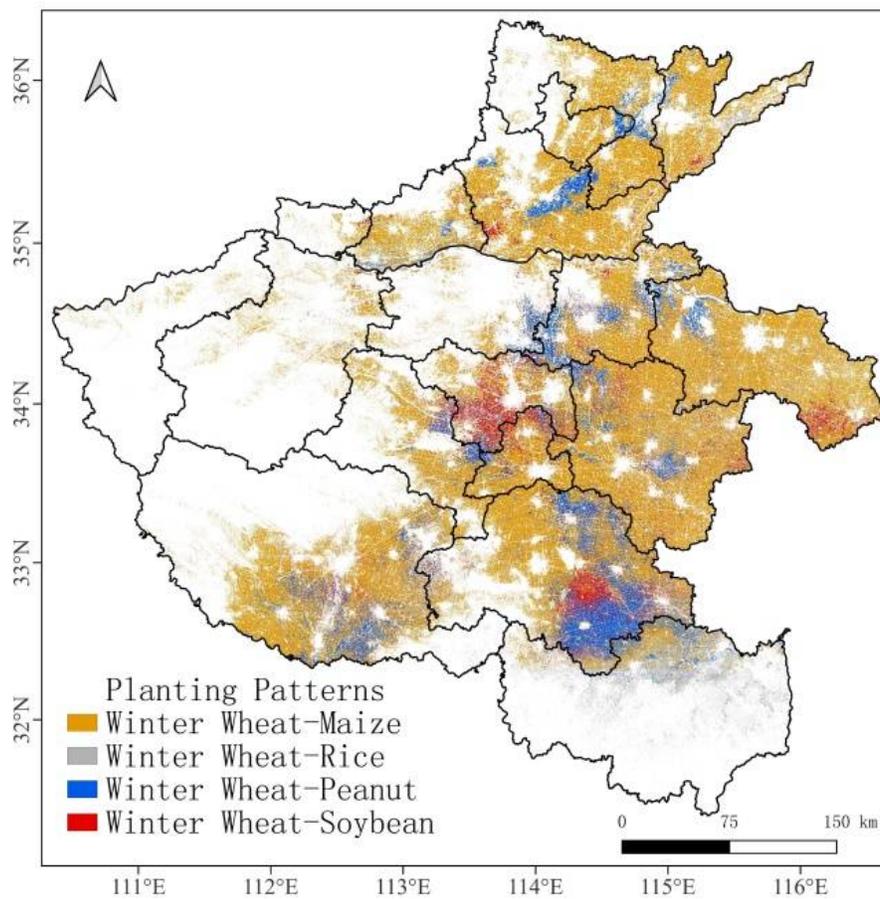


Fig. 4. The spatial distribution results of crop planting systems in Henan Province.

**Table 4. Comparison of remote sensing-derived area and official statistical data.**

| Crop type    | Mapped area (Million Hectares) | Statistical data (Million Hectares) | Relative error (%) |
|--------------|--------------------------------|-------------------------------------|--------------------|
| Winter Wheat | 5.50                           | 5.67                                | -3.02              |
| Maize        | 4.43                           | 3.86                                | 14.49              |
| Peanut       | 0.95                           | 1.31                                | -27.43             |
| Rice         | 0.58                           | 0.60                                | -3.66              |
| Soybean      | 0.74                           | 0.36                                | 102.75             |

To understand the drivers behind the successful classification, a SHAP analysis was performed to interpret the model's decisions. The analysis revealed that the model relied on distinct phenological and geographical features to differentiate crops. Although the external "Land Cover Type" initially ranked high as a macro-level cropland filter, we excluded this categorical

variable from the final interpretative ranking (Table 5) to prevent it from overshadowing the actual physiological insights. This allowed us to focus strictly on the spectral, phenological, and continuous geographical drivers of the crops. For winter wheat, the classification was principally driven by its unique phenological rhythm. The SHAP model identified the near-infrared (NIR) bands in March and the NDVI in December as the most critical features (Table 5), which correspond perfectly to winter wheat's key growth stages (spring green-up and pre-dormancy) and are consistent with previous findings.

In contrast, the spatial pattern of autumn crops was shaped by a dual-layer driving mechanism of "macro-geography + micro-biology" (Table 5). At the macro scale, latitude emerged as a key driver for all autumn crops, governing the broad planting zones based on the province's thermal gradient. At the micro-scale, the model used unique biological characteristics to differentiate crops: peanut was identified by its high reflectance in the red band (B4) in August, rice by its sensitivity to the Water Vapour (B9) in August, and maize and soybean by their distinct responses in the red-edge band (B5) in September.

**Table 5. Top 9 most important features for crop classification based on SHAP values.**

| Rank | Winter Wheat        | Maize                 | Rice                  | Peanut                | Soybean               |
|------|---------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| 1    | Mar B8 (NIR)        | Sep B5 (Red Edge 1)   | Aug B9 (Water Vapour) | Aug B4 (Red)          | Sep B5 (Red Edge 1)   |
| 2    | Mar B8A (NIR)       | Aug B6 (Red Edge 2)   | Aug B4 (Red)          | Aug B9 (Water Vapour) | Aug B6 (Red Edge 2)   |
| 3    | Dec 2022 NDVI       | Aug B5 (Red Edge 1)   | Aug LSWI              | Latitude              | Aug B5 (Red Edge 1)   |
| 4    | Apr B12 (SWIR 2)    | Aug B4 (Red)          | Aug B6 (Red Edge 2)   | Aug B2 (Blue)         | Aug B9 (Water Vapour) |
| 5    | Apr B3 (Green)      | Jul B5 (Red Edge 1)   | Sep B5 (Red Edge 1)   | Aug B6 (Red Edge 2)   | Jul B5 (Red Edge 1)   |
| 6    | Mar B7 (Red Edge 3) | Aug B9 (Water Vapour) | Aug B5 (Red Edge 1)   | Aug B10 (SWIR 1)      | Aug B4 (Red)          |
| 7    | Jan 2023 NDVI       | Latitude              | Latitude              | Sep B5 (Red Edge 1)   | Latitude              |
| 8    | Oct 2022 NDVI       | Aug B2 (Blue)         | Oct LSWI              | Aug B5 (Red Edge 1)   | Aug B10 (SWIR 1)      |
| 9    | Apr B5 (Red Edge 1) | Aug B10 (SWIR 1)      | Aug B2 (Blue)         | Jul B5 (Red Edge 1)   | Aug B2 (Blue)         |

This study developed a robust "seasonal monitoring" framework that outperforms traditional single-season methods through tailored feature engineering and the XGBoost algorithm. By integrating SHAP, this approach moves beyond "black-box" mapping toward "knowledge-driven" monitoring, explicitly revealing how macro-geographic and micro-biological factors drive crop distribution. While overall accuracy was high, the significant overestimation of soybean areas underscores the persistent challenge of mixed pixels in Henan's fragmented smallholder farming systems. Future work should explore sub-pixel mapping to mitigate this issue. The resulting 10-meter crop maps offer critical spatial intelligence for national food security, providing policymakers with actionable data for optimizing yield predictions, targeting insurance subsidies, and planning precision irrigation.

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