

Original Article

Ozone disc nucleolysis as an alternative to open disc surgery for slip disc

Sardar K¹, Das G², Mahta P³, Mallick S⁴, Hubbard R⁵

Abstract

Back pain associated with herniated disks has become an important and increasingly general health problem, both in Bangladesh and across the world. After all methods of conservative treatment have been exhausted, nucleolysis may be a minimally invasive alternative to surgery. In nucleolysis, chondrolytic substances, or other substances which reduce the pressure within the disk by other means, are injected into the nucleus pulposus under CT scan or fluoroscopic guidance. Among various substances, which have been employed for nucleolysis, an ozone-oxygen mixture appears to be very promising. The water-binding capacity of ozone results in a reduction of pain. Moreover, it has an anti-inflammatory effect and results in an increase in perfusion to the affected area. Ozone is converted into pure oxygen in the body and has a low allergic potential. Recent minimally invasive therapeutic methods such as percutaneous nucleotomy or laser treatment have not been shown to be superior when compared with ozone nucleolysis.

Key words: Slip disc, ozon, pain management

Introduction

Low back pain (LBP) is one of the most common and important clinical, social, economic and public health problems affecting the human population worldwide.¹ Around 70% of adults suffer from LBP at some point in their lifetime with various degrees of symptom severity. Additionally, 1.6% to 43% of these patients have LBP associated with sciatic symptoms.² In the United States, the incidence of chronic low back pain ranges

from 15% to 45%, with a prevalence of 30%.¹ Most back pain has no recognizable cause on imaging studies and is usually attributed to muscle strain or ligament injuries (65%-70%). In 5% to 15% of cases, the source of LBP is related to degenerative joints and disc disease.² The natural history of disk herniation is favorable; improvement of symptoms is the norm, and most episodes resolve spontaneously or after conservative therapy. However, studies have shown that low back pain is sometimes still present after long periods of time (at least 12 months) in 37% to 54% of patients.^{1,3} We know from the natural history of herniated disc that clinical symptoms tend to disappear in up to 50% of patients and the disc herniation can shrink at CT or MR scans within eight to nine months after the onset of back pain, but not all patients can wait so long before improvement symptoms.^{4,5} Studies dated as early as 1934 drew attention to the role of herniated nucleus pulposus as an important cause of low back pain and leg pain.⁶ Apart from conservative therapy, all other forms of treatment aim at decompressing the nerve roots, which are the cause of the patient's discomfort. These can be done by taking the disc out by surgery or by decompressing the foramen and disc by different interventions. Outcome studies of lumbar disc surgeries document a over all success rate between 49% to 95%.⁷ Short term success rate after surgery for lumbosacral disc herniation is around 95-98% with a 2-6% incidence of true recurrence of herniation. This percentage decreases to around 80% in the long-term due to the onset of symptoms linked to Failed Back Surgery Syndrome (FBSS) characterised by recurrence and/ or hypertrophic scarring with severe symptoms in 20% of patients.^{8,9} These figures have stimulated research into newer minimally-invasive techniques to improve clinical results. At the same time, advances in percutaneous techniques by interventional procedures (chemonucleolysis with chemopapain, nucleodiscectomy introduced by Onik, IDET, LASER discectomy and nucleoplasty) have minimized the invasive nature of surgical techniques and avoid or decrease complications such as postsurgical infection. Reducing intervertebral disc size by mechanical aspiration of disc fragments or partially dissolving the herniation by drying reduces the conic pressure on the torn annulus and creates the space necessary for retropulsion whenever the circular fibres of the annulus regain a minimum capacity to contain the disc under tension. All percutaneous procedures are mildly invasive entailing only a short

1. *Dr Kawsar Sardar, Associate Professor, Department of Anaesthesiology, BIRDEM General Hospital and Ibrahim Medical College, Dhaka, Bangladesh. Email:kawsardr@yahoo.com
2. Dr Gautam Das, Chairman, India, Pakistan and Sri Lankan chapter of World Institute of Pain.
3. Dr. Palak Mehta, Pain consultant, HCG Medisurge Hospitals, Navrangpura, Gujrat, India.
4. Dr. Shantanu Mallick, Pain consultant, Dr D Y Hospital and Research Centre, Mumbai, India.
5. Dr Richard Hubbard, Resident physician, University of Pittsburgh medical center, Pittsburgh, Pennsylvania, USA.

*For correspondence

hospital stay. By avoiding the spinal canal, these techniques also eliminate the risks of post-operative scarring linked to surgery which is often responsible for recurrence of pain.⁹⁻¹¹ Besides oral pharmacological and rehabilitation treatments, ozone therapy has emerged as an alternative or additional treatment option for these patients. Ozone nucleolysis or ozone discectomy is a non-surgical intervention to treat disc herniation/disc prolapse & discogenic pain. This procedure has been proved via many studies and researches to be very safe and associated with high success rate for improving the physiological condition as well as pain sensations. The success rates reported in different studies vary from 65 to 80%. Epidural steroid injections under CT or fluoroscopic guidance are also used to minimize radicular pain and to try to obtain complete pain relief.¹²⁻¹⁴

Despite its widespread use to treat a variety of conditions, ozone therapy remains unknown to most physicians. Ozone (O₃) is an allotropic form of oxygen, primarily known for its ecological properties, industrial application and therapeutic effects. Questions persist concerning its potential toxicity as an oxidant agent versus its reported clinical efficacy. Percutaneous techniques minimize the invasive nature of surgery, rendering administration more straightforward and faster while sparing healthy tissue and avoiding or minimizing complications such as postsurgical infection.¹⁵

Traditional open back Surgery for slip disc

In traditional open back surgery, a five to six inches incision may be needed in order to see the affected nerve root. In creating such a sizeable incision, a large area of muscle also has to be cut to make an opening of three to five centimeters, leading to risks of substantial blood loss. Complications of back surgery also include the use of general anesthesia, which, depending on age and overall health, could be a significant risk factor. In addition to the invasiveness of the surgery, length of the stay in the hospital, the painful weeks/months of recuperation time, the heavy use of pain medications afterwards and the time a patient has to spend away from work should also be considered. Another important complication after back surgery is the likelihood of scar tissue formation.⁹⁻¹² In many cases, the amount of back surgery scar tissue formation leads to additional spine conditions, which could eventually lead the patient to need another surgical procedure. Unfortunately, there is 60% success rate of full recovery of symptoms with open back surgery. This poor success rate appears to be due to complications from back surgery. Scar tissue formation caused by back surgery can be extremely painful, limit mobility and flexibility, and greatly diminish quality of life. Extensive scar tissue

build-up is typically associated with the long incisions and other tissue damage experienced during traditional open-back surgery. While scar tissue itself is typically not painful, excessive formation of scar tissue can trigger pain if it binds to or impinges on nerve roots.^{13,14} Patients with failed back surgery often live in significant pain and disability. This is a loop in which patients are caught. good pain relief brings the illusion of improved physical ability. But for many patients with failed back surgery, after a brief honeymoon period, pain, spasm, and weakness reappear at a low activity level. Although the nerve roots were not damaged directly by the failed back surgeries, the nerves are now encased in a web of scar tissue, which causes pain and spasm every time there are movements of the spine and legs.¹⁵

Reasons for failure of surgery

Causes of failed back surgery for herniated nucleus pulposus includes: dural fibrosis, arachnoidal adhesions, muscle & fascial fibrosis, mechanical instability resulting from the partial removal of bony and ligamentous structures required for surgical exposure and decompression leading to facet & sacro-iliac joint dysfunctions, radiculopathy and recurrent disc herniation.¹³⁻¹⁵

Newer ozone disc nucleolysis

Without the necessity of a surgical procedure, disc herniations can be treated with a minimally-invasive procedure using ozone. Muto suggested intradiscal injection of ozone for disc hernia in 1998 under CT guidance and Leonardi popularized fluoroscopy guided ozone injection into the intervertebral disc.¹⁶ Ozone modifies the core of the intervertebral disc in such a way that the disc herniation resolves. The treatment is carried out under local anaesthesia and ozone is introduced through a fine needle into the intervertebral disc without the need to open the spinal canal. This micro-therapy is carried out under the precise guidance afforded by computed tomography or C arm. Under a skilled practitioner's hand, scar formation is minimal or non-existent. The procedure takes between 20 and 30 minutes. A Hospital stay and postoperative physiotherapy are not necessary.

Procedure

Ozone is administered in the form of an oxygen-ozone gas mixture at nontoxic concentrations ranging from 1 to 40 µg of ozone per mL of oxygen, using various percutaneous methods.¹⁷ It is usually performed under local anaesthetic. The procedure is performed with the patient lying on perone position. Very fine needle is incerted in to the diseased disc under fluoroscopic guidance.

The position of the needle tip is confirmed by small amount of radio-opaque dye. Then 3-5 cc of oxygen-ozone mixture (in a concentration of 29 micrograms /ml) is injected. Injection of ozone of this concentration is not harmful to the surrounding tissue. So, no damage occurs when ozone spreads to the surrounding tissue including spinal cord. Ozone molecule is not stable. It has a half-life of only about 20 minutes. Also, within 20 minutes only half of the original ozone remains and turns to oxygen. Increasing the temperature drops this half-time. For the injection, it is always freshly prepared on the spot (by an ozone generator) for immediate application. Only ozone resistant syringes can be used for the injection. Some amount of ozone-oxygen mixture is injected into the paraspinal muscles and para-radicular soft tissue to reduce nerve root inflammation and increases the oxygen supply to the para-spinal cord muscles. The whole process requires about 15 to 30 minutes in experienced hand.^{1,3,13,14,18}

How does ozone nucleolysis work?

Several mechanism of action have been proposed to explain the efficacy of ozone therapy including analgesic, anti-inflammatory and oxidant action on proteoglycans in the nucleus pulposus. The effects of ozone therapy are due to the action of active, free radical oxygen atoms being liberated during the breakdown of ozone molecules, a process which occurs within the nucleus pulposus. In the disc, this oxygen free radical (also called the singlet oxygen) attaches to the proteo-glycan bridges in the jelly-like material of the nucleus pulposus¹³. This results in the destruction of these proteoglycan bridges. Water is released from the breakdown of this matrix, which causes the disc to solidify and shrink back into the annulus fibrosis.¹⁹ As a result disc shrinks and mummified. The intradiscal volume and intradiscal pressure is reduced. It is almost equivalent to surgical discectomy, and so the procedure is called ozone discectomy. It is also known as ozone nucleolysis or ozonucleolysis. The result is the decompression of nerve roots and the elimination of radicular pain. Other positive effects have been attributed to ozone nucleolysis. It has an anti-inflammatory action by inhibitions of materials produced by inflammation and tissue oxygenation is increased due to increased 2,3 diphosphoglycerate level in the red blood cells. All of these factors lead to decompression of nerve roots, decreased inflammation of the nerve roots and increased oxygen supply for the diseased tissue.

Indications of ozone nucleolysis

Ozone nucleolysis may be done in most disc-related pain. The following are possible situations in which this therapy may be efficacious. It can be done in degenerated

disc without any prolapse or nerve root irritation. This category is called discogenic back pain or back pain due to internal disc disruption. Axial dull ache in the low back which increases with the flexion of the spine is the main clinical feature. Leg pain is not a feature and there should not be any dermatomal pattern of radiation. Provocative discogram should be performed for diagnosis. Positive discogram (provocation of similar pain at a pressure below 15 psi) proves the presence of sensitized nociceptors and suggests that ozone therapy may be efficacious. It can be done in contained disc prolapse or disc bulge with root irritation.^{2,4,5,20,21}

Contraindications of ozone nucleolysis

There are few conditions when ozone therapy should not be performed. These are active bleeding from any site, pregnancy, G6PD deficiency, active hyperthyroidism, loss of control of urination & defecation, progressive sensory & motor loss, calcified disc herniation, intraforaminal herniation.^{5,20,21}

Complications

Complications of ozone therapy are very rare. They include post-procedural muscle spasm, burning pain (which is transient), and discitis (very rare due to the bactericidal effect of ozone). Other complications are similar to a discographic procedure. On the other hand, surgical discectomy has much higher side effects compared to remarkably few side effects of ozone discectomy. Ozone therapy is usually a day case procedure and general anesthesia is not usually required. Ozone therapy is gaining popularity in different countries, including India, due to low cost, shorter hospital stays, less post-procedural discomfort, and good side effect profile.^{22,23,24}

Comparative studies

There has been surge of interest in search of safer alternative methods of decompressing the nerve roots while maintaining the structural stability. Epidural steroid injection, transforaminal epidural procedures has a high success rate (up to 84%) but chances of recurrences are also high.^{22,25,26} Chemonucleolysis using chymopapain has moderate success rate (approximately 66% at one year).^{27,28} It has also the chances of anaphylaxis following intradiscal chymopapain injection.

Injection of ozone for discogenic radiculopathy has developed as an alternative to chemonucleolysis and disc surgery. Bonetti et al also reported excellent results in 74.4% patients six months after ozone therapy.²⁹ Andruela et al had similar results (70.3% at 6 months). Lu et al showed "excellent" or "good" results

in more than 90% patients. However, ozone disc nucleolysis is a fairly new technology, and there are few (if any) randomized, controlled trials concerning this procedure. Further clinical research will be required to elucidate its efficacy. On an anecdotal level, however, ozone disc nucleolysis (performed by the first author on this article) has lead to significantly improved pain and function in a number of patients in Bangladesh and improved results have been tracked over many months. In addition, the relatively low cost of the technology means that it can be performed in areas of poor financial resources, such as hospitals in the developing world. Owing to its fairly high success rate, less invasiveness, and remarkably fewer side effects, ozone therapy for slip disc is becoming very popular in different areas of world.¹⁹⁻²¹

Oder et al studied 621 patients to determine associations among the morphology of the disc disease, patient-specific data and treatment outcomes. Six hundred twenty-one consecutive patients were subjected to CT-guided ozonucleolysis in combination with periradicular infiltration by steroids under local anesthesia. Patients younger than 50 years had significantly better results 6 months after treatment.³⁰ Andreula et al reported a 78.3% success rate in patients treated with ozone therapy and periganglionic steroid injection compared with a 70.3% rate in those treated with ozone therapy alone; complications occurred in 2 of 235 patients and consisted of episodes of impaired sensitivity in the lower limb on the treated side, which resolved spontaneously within 2 hours.²¹ In a series of 45 patients, Buric et al studied the differences in outcome between intradiscal ozone chemonucleolysis and microdiscectomy in patients with noncontained lumbar disc herniations. They documented that 27 patients (90%) in the chemonucleolysis group showed a statistically significant improvement in pain and function; the same was true in 14 (93.3%) patients in the microdiscectomy group.¹⁸ Das et al, in an Indian population cohort study, evaluated 53 consecutive patients with lumbar disc herniation. All presented with clinical signs of lumbar nerve root compression supported by CT and MRI findings. They were treated with a single session of intradiscal ozone therapy. Therapeutic outcome was assessed after 2 years. Pain intensity was significantly reduced following treatment. No major complication was observed in this case series.¹⁹ Xu et al included 187 patients with sciatica and low back pain with positive Lasègue sign and diagnostic verification by CT and MRI exhibited disc protrusion with nerve root or thecal sac compression. They compared the effectiveness rates after one week (103 cases), 2 weeks (61 cases), and 4 weeks (23 cases) treatment sessions of intradiscal ozone therapy. The effective rate was 82.02% in all groups.³¹

To conclude, ozone nucleolysis is a new procedure which offers the promise of excellent pain relief and the avoidance for surgery in patients with prolapsed nucleus pulposus. In addition, it has the benefits of being a safe, cheap procedure which does not require highly expensive equipment. For these reasons, it appears to be an excellent option in the setting of Bangladesh, where the practice of pain management is still in its infancy.

References

1. Freynhagen BR, Gockel U, Tölle TR. Pain DETECT: A new screening questionnaire to identify neuropathic components in patients with back pain. *Curr Med Res Opin.* 2006; 22:1911-1920
2. Kaki A M E-YAZ, Youseif E. Identifying neuropathic pain among patients with chronic low-back pain: use of the leeds assessment of neuropathic symptoms and signs pain scale. *Reg Anesth Pain M.* 2005; 30 : 422. e1-422.e9
3. Larkin TM. Nucleoplasty with or without intradiscal electrothermal therapy (IDET) as a treatment for lumbar herniated disc. *J Spinal Disord Tech.* 2005; 18 Suppl:S119-S124
4. Bush K, Cowan N, Katz DE, Gishen P. The natural history of sciatica associated with disc pathology. A prospective study with clinical and independent radiologic follow-up. *Spine.* 1992; 17: 1205-1212.
5. D'orme M, Sarchilli A et al. Ozone therapy in lumbar sciatic pain. *Radiol Med.* 1999; 95: 21-24.
6. Mixer WJ, Barr JS. Rupture of the intervertebral disc with involvement of the spinal canal. *N Eng J Med.* 1934; 211:210-215.
7. Kumar V S. Total clinical and radiological resolution of acute, massive lumbar disc prolapse by ozonucleolysis. *Rivista Italiana di Ossigeno-ozonoterapia.* 2005; 4:104-106.
8. Gallucci M, Splendiani A, Masciocchi C. Spine and spinal cord: neuroradiological functional anatomy. *Rivista di Neuroradiologia.* 1997; 11: 293-304.
9. Gangi A, Dietemann JL, Mortazavi R, Pflieger D, Kauff C, Roy C. CT-guided interventional procedures for pain management in the lumbosacral spine. *RadioGraphics* 1998; 18: 621-633.
10. Berger O, Dousset V, Delmer O, Pointillart V, Vital JM, Caille JM. evaluation of the efficacy of foraminal infusions of corticosteroids guided by computed tomography in the treatment of radicular pain by foraminal injection. *J Radiol Sep.* 1999; 80: 917-925
11. Buchner M, Zeifang F, Brocai DR, Schiltenswolf M. Epidural corticosteroid injection in the conservative management of sciatica. *Clin Orthop* 2000; 375: 149-156

12. Postacchini F, Postacchini R. Operative management of lumbar disc herniation: The evolution of knowledge and surgical techniques in the last century. *Acta Neurochir Suppl.* 2011; 108: 17-2.
13. Fabris G, Tommasini G. Oxigen-ozone therapy in percutaneous treatment of lumbar HNP. *Rivista di Neuroradiologia.* 1999; 12: 23.
14. Furman MB, O'Brien EM, Zgleszewski TM. Incidence of intravascular penetration in transforaminal lumbosacral epidural steroid injection. *Spine.* 2000; 25: 2628-2632.
15. Postacchini F, Postacchini R. Operative management of lumbar disc herniation: The evolution of knowledge and surgical techniques in the last century. *Acta Neurochir Suppl.* 2011; 108: 17-21
16. Muto M, Andreula C, Leonardi M Treatment of herniated lumbar disc by intradiscal and intraforaminal oxygen-ozone (O₂-O₃) injection. *J Neuroradiol.* 2004; 31(3):183-9.
17. Bocci VA. Scientific and medical aspects of ozone therapy. State of the art. *Arch Med Res.* 2006; 37:425-435.
18. Buric J, Molino Lova R. Ozone chemonucleolysis in non-contained lumbar disc herniations: a pilot study with 12 months follow-up. *Acta Neurochir Suppl.* 2005; 92:93-7.
19. Gautam Das, S. Ray, S. Iswarari, M. Roy, P. Ghosh; Ozone Nucleolysis for Management of Pain and Disability in Prolapsed Lumbar Intervertebral Disc: A Prospective Cohort Study; *Interventional Neuroradiology.* 15: 2009:330-334.
20. Lehnert T, Mundackatharappel S, Schwarz W, Bisdas S, Wetter A, Herzog C, Balzer JO, Mack MG, Vogl TJ. Nucleolysis in the herniated disk. *Radiologe.* 2006; 13:203-205.
21. Andreula CF, Simonetti L, De Santis F et al: Minimally invasive oxygen ozone therapy for lumbar disc herniation. *American Journal of Neuroradiology.* 2003; 24:996-1000.
22. Riew KD, Park JB, Cho YS, Gilula L, Patel A, Lenke LG, Bridwell KH. Nerve root blocks in the treatment of lumbar radicular pain. A minimum five-year followup. *J Bone Joint Surg. Am* 2006; 88:1722-1725.
23. Junior J O O, Lages G V. *Rev Dor. São Paulo,* 2012 jul-sep;13(3):261-70
24. Kallewaard J W, Terheggen M A M B, Groen G J, Sluiter M E, Derby R, Kapural L et al. *Pain Practice.* 2010;10 (6): 560-579.
25. Vad VB, Bhat AL, Lutz GE, Cammisa F. Transforaminal epidural steroid injections in lumbosacral radiculopathy ; A prospective randomized study. *Spine.* 2006 ; 22 : 220 – 224.
26. Ng LC, Sell P. Outcomes of a prospective cohort study on peri-radicular infiltration for radicular pain in patients with lumbar disc herniation and spinal stenosis. *Eur Spine J.* 2004; 13:325-329.
27. Krugluger J, Knahr K. Chemonucleolysis and automated percutaneous discectomy—a prospective randomized comparison. *Int Orthop.* 2000; 24:167-169.
28. Revel M, Payan C, Vallee C, Laredo JD, Lassale B, Roux C et al. Automated percutaneous lumbar discectomy versus chemonucleolysis in the treatment of sciatica. A randomized multicenter trial. *Spine.* 1993;18:1-7.
29. Bonetti M, Fontana A, et al. Intraforaminal O₂-O₃ versus periradicular steroidal infiltrations in low back pain. Randomized controlled study. *Am J Neuroradiol.* 2003;26:996-100.
30. Oder B, Loewe M, Reisinger M, Lang W, Ilias W, Thurnher SA. CT-guided ozone/steroid therapy for the treatment of degenerative spinal disease: Effect of age, gender, disc pathology and multi-segmental changes. *Neuroradiology.* 2008; 50 :777 – 785.
31. Xu L, Li ZL, He XF, Xiang DC, Ma J, Hong CJ et al. Evaluation of the clinical curative effect of an O₂-O₃ mixture to treat lumbar disc herniation with different treatment sessions. *Interv Neuroradiol.* 2009; 15:159-163.